

Financial Design Group Investment Questionnaire

Choosing An Appropriate Asset Allocation Model

Any investment exposes an investor to some level of risk in exchange for return expectations. Because the expected risk and return associated with a diversified investment is highly dependent on the allocation of assets across broad markets, it is essential to consider your personal objectives, time horizon and risk tolerance. Only then can you determine the most appropriate way to allocate your assets.

The following questionnaire is designed to help you make these determinations. The final page will aid you in selecting the appropriate option. Simply answer each question and enter your total score in the box at the end of each section.

Objectives And Time Horizon

1. Select the phrase that best describes your investment objectives.
 - I want to grow my assets; however, I am primarily interested in preserving the value of my portfolio.
 - I seek moderate growth in the value of my portfolio.
 - I am interested in aggressively growing the value of my portfolio.
2. What is the time horizon for this investment? When do you anticipate a need for these assets?
 - Within the next 5 years.
 - 5 to 10 years from now.
 - 10 to 20 years from now.
 - 20 to 30 years from now.
 - More than 30 years from now.
3. Please choose the phrase that best describes your income requirements.
 - I require significant, reliable cash flows from these investments.
 - I desire income, but I will accept less income in exchange for higher total return potential.
 - I am willing to forgo a significant amount of current income in order to grow my assets aggressively.
4. How likely is it that you will need to withdraw or borrow assets prior to reaching the end of? Your planned time horizon for these investments? Consider your potential needs for travel, education, major purchases, emergencies, etc.
 - Not very
 - Somewhat
 - Highly
5. If you need to withdraw or borrow assets before you reach the end of your investment time horizon, it will likely be for a _____ amount, relative to your total investment.
 - Small (less than 10%)
 - Moderate (10% - 30%)
 - Large (greater than 30%)

6. Which of the following best describes your reaction if your portfolio were to experience a significant drop in value?
- I would be very concerned. I have a low tolerance for fluctuation in the value of my principal.
 - I would be concerned if my portfolio were to decrease in value. However, I am willing to tolerate some variability in the value of my investment.
 - I am willing to accept fluctuations in the value of my portfolio.

Comments? _____

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